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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Colrain et al.

Serial No.: Unassigned

Filed: February 28, 2002

Title: System And Method For Providing
Out-of-Band Notification Of Service
Changes

Attorney Docket No.: 007.0192.01

Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

X under 37 CFR 1.97(b), or

(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
 under 37 CFR 1.97(c) together with either a:  Statement under 37 CFR 1.97(e), or  a \$180.00 fee under 37 CFR 1.17(p), or  (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
 under 37 CFR 1.97(d) together with a:  Statement under 37 CFR 1.97(e), and a \$180.00 fee set forth in 37 CFR 1.17(p).  (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Patrick J.S. Inouve, Esq.

Attorney/Agent for Applicant(s)

Reg. No. 40297

Date: February 28, 2002

Telephone No.: (206) 381-3900

PTO/SB/08A (10-01)
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Substitute for form 1449A/PTO			Complete	Complete if Known		
				Application Number	Unassigned	7
INFORMATION DISCLOSURE				Filing Date		8
S	STATEMENT BY APPLICANT (use as many sheets as necessary)			First Named Inventor	Colrain	
_				Art Unit	Unassigned	
				Examiner Name	Unassigned	
Sheet	1	of	2	Attorney Docket Number	007.0192.01	

	U.S. PATENT DOCUMENTS						
		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Payes, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
Examiner Initials*	Cite No. <sup>1</sup>	Number - Kind Code <sup>2</sup> (if known)		Applicant of Cited Document			
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	FOREIGN PATENT DOCUMENTS						
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Examiner		Date	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Fixind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known Substitute for form 1449B/PTO **Application Number** Unassigned Filing Date INFORMATION DISCLOSURE Colrain First Named Inventor STATEMENT BY APPLICANT Unassigned Group Art Unit (use as many sheets as necessary) Unassigned Examiner Name 2 007.0192.01 Attorney Docket Number Sheet of

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
		GRAY J et al., "Transaction Processing: Concepts and Techniques," 1993, pp. 128-138, Morgan Kaufman, San Francisco, CA	
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